

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Tao Chen et al.

Serial No.: 10/581,019

Filed: May 30, 2006

For: PICTURE CODING METHOD, PICTURE  
DECODING METHOD, PICTURE CODING  
APPARATUS, PICTURE DECODING  
APPARATUS, AND PROGRAM THEREOF

Group Art Unit: 2621

August 8, 2008

Costa Mesa, California 92626

**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On June 6, 2008, Applicant filed a Request to Correct the Filing Receipt. However, the Corrected Filing Receipt mailed July 29, 2008 did not correct the requested error.

The official Filing Receipt for the above-identified patent application erroneously misspelled the second inventor's first name as Juihuai Lu. The correct spelling of the name is Jiuhuai Lu (emphasis added). A copy of the Declaration which correctly spells the inventor's name is enclosed along with a copy of the Filing Receipt and the Corrected Filing Receipt showing this error.

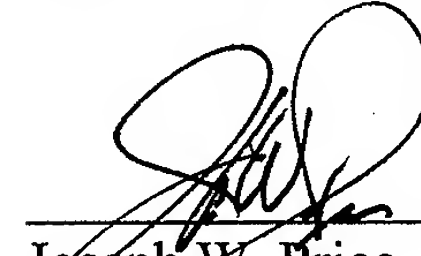
It is requested that a second corrected Filing Receipt be issued reflecting the correct spelling of the inventor's name as Jiuhuai Lu.

There are no fees due as this represents a US Patent Office error.

If there are any questions with regard to this request, please contact the undersigned attorney at the listed telephone number.

Respectfully submitted,

**SNELL & WILMER L.L.P.**

A handwritten signature in black ink, appearing to read "Joseph W. Price", is written over a horizontal line.

Joseph W. Price

Registration No. 25,124

600 Anton Boulevard, Suite 1400

Costa Mesa, CA 92626

Tel: 714-427-7420

Fax: 714-427-7799



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	TOT CLAIMS	IND CLAIMS
10/581,019	05/30/2006	2621	1600	44802-0500	8	5

CONFIRMATION NO. 6808

52044

SNELL & WILMER L.L.P. (Matsushita)  
600 ANTON BOULEVARD  
SUITE 1400  
COSTA MESA, CA 92626



CORRECTED FILING RECEIPT



\*OC000000031230157\*

Date Mailed: 07/29/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

## Applicant(s)

Tao Chen, Diamond Bar, CA;  
Juihuai Lu, Palos Verdes Peninsula, CA;  
Yoshiichiro Kashiwagi, Arcadia, CA;  
Shinya Kadono, Hyogo, JAPAN;  
Chong Soon Lim, Singapore, SINGAPORE;

JUIHUI LU

**Power of Attorney:** The patent practitioners associated with Customer Number 52044

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US2005/002457 01/26/2005  
which claims benefit of 60/540,636 01/30/2004  
and claims benefit of 60/551,690 03/09/2004  
and claims benefit of 60/552,907 03/12/2004  
and claims benefit of 60/561,351 04/12/2004

## Foreign Applications

If Required, Foreign Filing License Granted: 04/11/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/581,019**

Projected Publication Date: 08/14/2008

Non-Publication Request: No

Early Publication Request: No

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JUL 31 2008

SNELL & WILMER

**Title**

Picture Coding Method, Picture Decoding Method, Picture Coding Apparatus, Picture Decoding Apparatus, and Program Thereof

**Preliminary Class**

375

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where

the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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10/581,019	05/30/2006	2621	1600	44802-0500	8	5

CONFIRMATION NO. 6808

52044  
SNELL & WILMER L.L.P. (Matsushita)  
600 ANTON BOULEVARD  
SUITE 1400  
COSTA MESA, CA 92626

## FILING RECEIPT



Date Mailed: 04/18/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

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**Projected Publication Date:** 371 Perfected

**Non-Publication Request:** No

**Early Publication Request:** No

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SNELL & WILMER



**Title**

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**Preliminary Class**

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Docket No.

**DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATION**
☒ Original    ☐ Supplemental    ☐ Substitute    ☐ PCT    ☐ Design

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; and I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Title: PICTURE CODING METHOD, PICTURE DECODING METHOD, PICTURE CODING APPARATUS, PICTURE DECODING APPARATUS, AND PROGRAM THEREOF

which is described and claimed in (if the following box is not checked, the specification of which is attached hereto):

- ☐ the attached specification, or
- ☐ the specification in the Application No. \_\_\_\_\_ filed on \_\_\_\_\_; and with amendments filed on \_\_\_\_\_ (if applicable), or
- ☒ the specification in International Application No. PCT/ US05/02457, Filed January 26, 2005 and as amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge my duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a-d), §172, or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NO.	DATE OF FILING	PRIORITY CLAIMED

☐ Additional foreign or international application numbers are listed on a supplemental priority sheet attached hereto.

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States Provisional application(s) listed below.

Number	(Day/Month/Year Filed)
60/540,636	January 30, 2004
60/551,690	March 9, 2004
60/552,907	March 12, 2004
60/561,351	April 12, 2004

☐ Additional U.S. provisional application numbers are listed on a supplemental priority sheet attached hereto.

Docket No.

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(C) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

APPLICATION NO.	U.S. FILING DATE	STATUS: PATENTED, PENDING, ABANDONED

☐ Additional U.S. or international application numbers are listed on a supplemental priority sheet attached hereto.


**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the attorneys and agents associated with U.S. Patent and Trademark Office Customer Number identified bellow to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to that customer number.

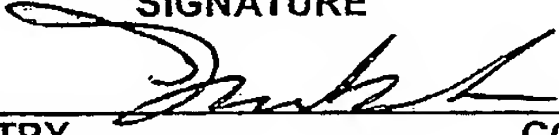
I hereby authorize the U.S. attorneys and agents associated with the customer number to accept and follow instructions from Matsushita Electric Industrial Co., Ltd., and any affiliated or subsidiary company thereof, received via their corporate representatives and/or their foreign patent attorneys or agents, if any, as to any action to be taken in the U.S. Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys or agents and myself.

Direct Correspondence to:


**CUSTOMER NUMBER**  
**52044**

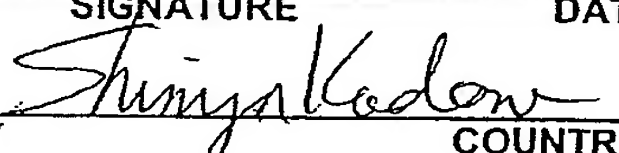
I further declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


<b>Full Name of Sole or First Inventor</b>	<b>FIRST NAME</b> Tao	<b>LAST NAME</b> CHEN	<b>SIGNATURE</b> 	<b>DATE OF SIGNATURE</b> 2/2/2006
<b>Residence &amp; Citizenship</b>	<b>CITY</b> Diamond Bar	<b>STATE OR COUNTRY</b> California	<b>COUNTRY OF CITIZENSHIP</b> P. R. China	
<b>Post Office Address</b>	<b>ADDRESS</b> 2408 Sunbright Drive, Diamond Bar, California, USA	<b>CITY</b> Diamond Bar	<b>STATE OR COUNTRY</b> California	<b>ZIP CODE</b> 91765

<b>Full Name of Second Inventor</b>	<b>FIRST NAME</b> Jiuhuai	<b>LAST NAME</b> LU	<b>SIGNATURE</b> 	<b>DATE OF SIGNATURE</b> 2/2/2006
<b>Residence &amp; Citizenship</b>	<b>CITY</b> Palos Verdes Peninsula	<b>STATE OR COUNTRY</b> California	<b>COUNTRY OF CITIZENSHIP</b> US	
<b>Post Office Address</b>	<b>ADDRESS</b> 4200 Cartesian Circle, Palos Verdes Peninsula, California, USA	<b>CITY</b> Palos Verdes Peninsula	<b>STATE OR COUNTRY</b> California	<b>ZIP CODE</b> 90274

Docket No.

Full Name of Third Inventor	FIRST NAME Yoshiichiro	LAST NAME KASHIWAGI	SIGNATURE 	DATE OF SIGNATURE 2/3/2006
Residence & Citizenship	CITY Arcadia	STATE OR COUNTRY California	COUNTRY OF CITIZENSHIP Japan	
Post Office Address	ADDRESS 179 West Palm Drive, Arcadia, California, United States of America	CITY 91007	STATE OR COUNTRY	ZIP CODE

Full Name of Fourth Inventor	FIRST NAME Shinya	LAST NAME KADONO	SIGNATURE 	DATE OF SIGNATURE Feb. 24, 2006
Residence & Citizenship	CITY Hyogo	STATE OR COUNTRY Japan	COUNTRY OF CITIZENSHIP Japan	
Post Office Address	ADDRESS 8-3-2-203, Atagoyama, Nishinomiya-shi, Hyogo, Japan	CITY 662-0871	STATE OR COUNTRY	ZIP CODE

Full Name of Fifth Inventor	FIRST NAME Chong Soon	LAST NAME LIM	SIGNATURE 	DATE OF SIGNATURE 14/2/06
Residence & Citizenship	CITY Singapore	STATE OR COUNTRY Singapore	COUNTRY OF CITIZENSHIP Singapore	
Post Office Address	ADDRESS BLK842, Sims Ave, #07-760, Singapore, Singapore	CITY 400842	STATE OR COUNTRY	ZIP CODE

Full Name of Sixth Inventor	FIRST NAME	LAST NAME	SIGNATURE	DATE OF SIGNATURE
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP	
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY	ZIP CODE

Full Name of Seventh Inventor	FIRST NAME	LAST NAME	SIGNATURE	DATE OF SIGNATURE
Residence & Citizenship	CITY	STATE OR COUNTRY	COUNTRY OF CITIZENSHIP	
Post Office Address	ADDRESS	CITY	STATE OR COUNTRY	ZIP CODE

(If there are more than seven inventors, please add a copy of this page for identification and signature for the additional inventors)

The above application may be more particularly identified as follows:

U.S. Application No. \_\_\_\_\_ Filing Date \_\_\_\_\_

Applicant Reference Number P036697-02 Attorney Docket No. \_\_\_\_\_